

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Michael R. Krause et al.

Confirmation No.: 4267

Application No.: 09/980,759

Examiner: Unassigned

Filing Date: Nov. 26, 2001

Group Art Unit:

Title: RELIABLE DATAGRAM TRANSPORT SERVICE

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
 - ☐ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
 - ☐ Statement under 37 CFR 1.97(e)(1) or (2), and
 - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: Feb. 5, 2004

OR

- ☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____
Number of pages: _____

Typed Name: Patrick G. Billig

Signature: 

Respectfully submitted,

Michael R. Krause et al.

By 

Patrick G. Billig

Attorney/Agent for Applicant(s)

Reg. No. **38,080**

Date: **Feb. 5, 2004**

Telephone No.: **(612) 573-2003**

FORM PTO-1449

ATTY. DOCKET NO.

APPLICATION NO.

CONFIRMATION NO.

10002164-2

09/980,759

4267

APPLICANT

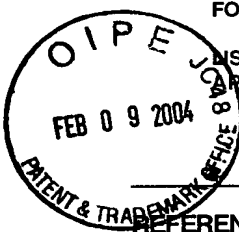
Michael R. Krause et al.

FILING DATE

GROUP

April 26, 2002

(Use several sheets if necessary)



LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|-------------------|--|
| | 1A | 4,713,806 | 12/1987 | Oberlander et al. | |
| | 1B | 5,506,964 | 4/1996 | Beukema | |
| | 1C | 5,535,341 | 7/1996 | Shah et al. | |
| | 1D | 5,553,083 | 9/1996 | Miller | |
| | 1E | 5,790,544 | 8/1998 | Aho et al. | |
| | 1F | 5,802,295 | 9/1998 | Fukui et al. | |
| | 1G | 5,916,307 | 6/1999 | Piskiel et al. | |
| | 1H | 5,968,176 | 10/1999 | Nessett et al. | |
| | 1I | 5,987,506 | 11/1999 | Carter et al. | |
| | 1J | 5,999,964 | 12/1999 | Murakata et al. | |
| | 1K | 6,026,448 | 2/2000 | Goldrian et al. | |

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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
| | 1L | | | | | |
| | 1M | | | | | |
| | 1N | | | | | |
| | 1O | | | | | |
| | 1P | | | | | |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|--|
| | 1Q | IBM Corp. "Direct Memory Access Tag Architecture," IBM Technical Disclosure Bulletin, Vol. 32, No. 4B, pp. 143-151 (September 1989). |
| | 1R | A copy of the PCT International Search Report mailed January 2, 2001, for International Application No. PCT/US00/14222 (6 pages). |
| | 1S | |

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STATEMENT OF PATENTS AND PUBLICATIONS FOR
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STATEMENT

(Use several sheets if necessary)

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| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|----------------------|--|
| | 2A | 6,047,323 | 4/2000 | Krause | |
| | 2B | 6,064,672 | 5/2000 | Van Loo et al. | |
| | 2C | 6,070,219 | 5/2000 | McAlpine et al. | |
| | 2D | 6,088,736 | 7/2000 | Manning et al. | |
| | 2E | 6,094,437 | 7/2000 | Loehndorf, Jr. et al | |
| | 2F | 6,151,696 | 11/2000 | Miller et al. | |
| | 2G | 6,192,417 | 2/2001 | Block et al. | |
| | 2H | 6,256,740 | 7/2001 | Muller et al. | |
| | 2I | 6,279,084 | 8/2001 | VanDoren et al. | |
| | 2J | 6,335,933 | 1/2002 | Mallory | |
| | 2K | 6,392,993 | 5/2002 | Hamilton et al. | |

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| | 2L | | | | | |
| | 2M | | | | | |
| | 2N | | | | | |
| | 2O | | | | | |
| | 2P | | | | | |

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| | 2S | |

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TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- () Response/Amendment () Petition to extend time to respond
() New fee as calculated below () Supplemental Declaration
() No additional fee (Address envelope to "Mail Stop Non-Fee Amendment")
(X) Other: SIDS; Form PTO-1449; (24) cited references (fee \$)

| CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY | | | | | | |
|--|--|------------------------|--|-------------------------|-------------|---------------------------|
| (1) FOR | (2) CLAIMS REMAINING AFTER AMENDMENT | (3) NUMBER EXTRA | (4) HIGHEST NUMBER PREVIOUSLY PAID FOR | (5) PRESENT EXTRA | (6) RATE | (7) ADDITIONAL FEES |
| TOTAL CLAIMS | | MINUS | | = 0 | X \$18 | \$ 0 |
| INDEP. CLAIMS | | MINUS | | = 0 | X \$86 | \$ 0 |
| [] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM | | | | | + \$290 | \$ 0 |
| EXTENSION FEE | 1ST MONTH \$110.00 | 2ND MONTH \$420.00 | 3RD MONTH \$950.00 | 4TH MONTH \$1480.00 | | \$ 0 |
| OTHER FEES | | | | | | \$ |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | | \$ 0 |

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

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